

AMF CERTIFICATION

AMF certification pursuant to Articles 312-3 to 312-5, 314-9, 318-7 to 318-9, 321-37 to 321-39, and 325-24 to 325-26 of the internal regulations
Regulation Partners is an organisation certified by the French Financial Markets Authority (AMF) under number C21 on 28/09/2021.

The AMF's general regulations require investment service providers and financial investment advisers to verify that persons performing certain functions under their authority or on their behalf have a minimum level of knowledge in 12 areas relating to the regulatory and ethical environment and financial techniques.

Verification of minimum knowledge levels can be carried out via the AMF examination, which can be taken at institutions duly certified by the AMF and which must meet the criteria set out in AMF Instruction No. 2010-09.

OUR OFFER

Regulation Partners offers training tailored to your needs and preparation for the AMF examination on professional knowledge for market participants.

EXAM PRICE

Price of a single examination: **€120**

Price of an examination for students: **€100**

Optional in-person training: **€450 per half day**
(2 topics per half-day)

PACKS

Pack 1

€170

- 1 exam
- + online training

€100

Student price

Pack 2

€220

- 2 exams
- + online training

€130

Student price

Pack 3

€260

- 2 examinations
- + online training
- + e-learning
- training materials

€200

Student price

Cost of an additional examination: €60

CONTENT OF THE EXAM

The following list contains the **12 topics of minimum knowledge required to obtain AMF certification.**

- French, European and international institutional and regulatory framework
- Ethics, compliance and ethical organisation of institutions
- Financial security: combating money laundering, terrorism and corruption; embargoes
- Market Abuse Regulations
- Marketing of financial instruments, banking and financial solicitation, distance selling and customer advice
- Customer relations
- Financial instruments, crypto-assets and their risks
- Collective management / Management on behalf of third parties
- Market functioning and organisation
- Post-market and market infrastructure
- Issues and transactions in securities
- Accounting and financial fundamentals

In order for a person to perform one of the key functions within an investment service provider (ISP) or a financial investment adviser (FIA), the latter must ensure that this person has, in addition to this minimum knowledge, the appropriate qualifications and expertise necessary to perform their function.

ADMINISTRATION

- **E-training:** we offer training for the final examination on our dedicated platform.
- **Exams:** We provide all the necessary equipment for this examination, whether it is taken **in person** at the Regulation Partners premises or **remotely**.
- **Great flexibility in scheduling examination dates.**

CONTACT

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Regulation Partners SAS with capital of €112,800,
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under number 534 572 813